



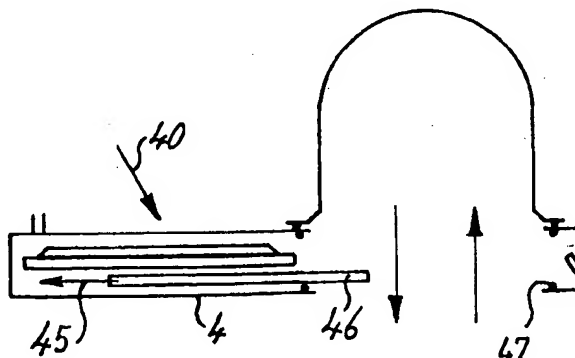
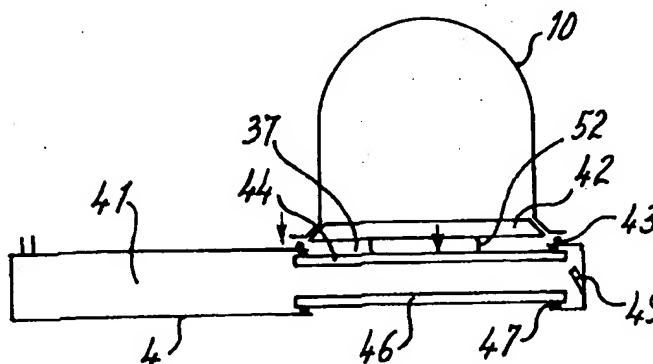
## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>H01L 21/00</b>		<b>A1</b>	(11) International Publication Number: <b>WO 99/65064</b>
			(43) International Publication Date: 16 December 1999 (16.12.99)
(21) International Application Number: PCT/NL99/00353		16, NL-1401 SX Bussum (NL). ZINGER, Jan [NL/NL]; Valderseweg 15, NL-7191 BD Dwingeloo (NL).	
(22) International Filing Date: 4 June 1999 (04.06.99)		(74) Agent: DE BRUIJN, Leendert, C.; Nederlandsch Octrooibureau, Scheveningseweg 82, P.O. Box 29720, NL-2502 LS The Hague (NL).	
(30) Priority Data: 1009327 5 June 1998 (05.06.98) NL		(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).	
(71) Applicant (for all designated States except US): A.S.M. INTERNATIONAL N.V. [NL/NL]; P.O. Box 100, NL-3720 AC Bilthoven (NL).			
(72) Inventors; and			
(75) Inventors/Applicants (for US only): HASPER, Albert [NL/NL]; Prinses Beatrixplantsoen 9, NL-7941 EV Meppel (NL). HUUSSEN, Frank [NL/NL]; Koppellaan 8, NL-3721 PE Bilthoven (NL). KOOIJMAN, Cornelis, Marinus [NL/NL]; Jan van Scorelhage 28, NL-3437 KD Nieuwegein (NL). OOSTERLAKEN, Theodorus, Gerardus, Maria [NL/NL]; Meent 4, NL-3421 GT Oudewater (NL). VAN PUTTEN, Jack, Herman [NL/NL]; Hezer Enghweg 16, NL-3734 GR Den Dolder (NL). RIDDER, Christianus, Gerardus, Maria [NL/NL]; Esdoornlaan 19, NL-3828 BK Hoogland (NL). SNIJDERS, Gert-Jan [NL/NL]; Bevrijdingsweg 23, NL-3815 XK Rotterdam (NL). STOUTJESDIJK, Jeroen, Jan [NL/NL]; Visserstraat		Published With international search report.	

(54) Title: METHOD AND DEVICE FOR TRANSFERRING WAFERS

## (57) Abstract

Device and method for transferring wafers. The wafers are arranged in cassettes and have to be removed therefrom for feeding to a treatment device. To this end a lock construction is proposed having first and second openings arranged in line, each of which can be closed off by an individual closure. A cassette loaded with wafers is placed against a first opening, the closure of which is closed. The closure of the cassette is moved, together with the closure of the first opening, into the lock chamber, while, with the second opening closed, the lock chamber is purged together with the cassette.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

Method and device for transferring wafers

The present invention relates to a device and a method according to the precharacterising clause of Claim 1.

5 A device of this type is disclosed in WO 96/26874.

For transporting wafers use is made of cassettes provided with a cover fitted such that it is removable. Said cover can be provided to a greater or lesser extent with a gastight seal with respect to the other part of the cassette. If the wafers have, for example, to be treated in a furnace, the wafers are removed from the cassette and placed in a wafer rack and said wafer  
10 rack is then introduced, together with the wafers, into the furnace. After the treatment the wafers together with the rack are removed from the furnace and the wafers are transferred back to the cassette and transported for further treatment.

In general, treatment devices such as furnaces are under a controlled atmosphere, for example an inert nitrogen atmosphere, during loading or removal. It is necessary also to bring  
15 the wafers into such an atmosphere. Numerous proposals are disclosed in the prior art for bringing the wafers into the controlled atmosphere of the treatment device before said wafers enter the chamber in which the treatment device has been arranged.

A first proposal comprises fitting a connection on the cassettes. Said connection engages, in the cassette storage device, which storage device can contain several tens of  
20 cassettes, on a coupling piece arranged in the storage device and, after connecting the cassette and the storage device, purging with the gas concerned takes place through said connection. Because the wafers fit accurately in the cassettes, it has been found that a completely homogeneous atmosphere can be achieved only with very great difficulty. A very large quantity of gas is required for this, whilst under normal conditions it cannot be guaranteed  
25 that every part of the cassette chamber has been provided with the controlled atmosphere.

Another proposal is not to remove the wafers directly from the cassette in order to place these in a wafer rack but to provide a lock construction in which the cassette is accommodated in its entirety. In a lock chamber of this type the atmosphere is then changed to the controlled atmosphere. It has been found that the various operations are time-  
30 consuming, whilst the chamber for accommodating the cassette in turn adds additional floor area to the complete treatment device.

A construction having a lock chamber is described in WO 96/26874.

This construction is provided with a single closure which closes off both the first and

the second opening. With this arrangement the first opening is made in a component that is movable with respect to the rest of the construction. Opening is effected by moving said movable component away from the closure.

This construction has the disadvantage that the lock chamber has a moving part. As a  
5 result of the movement, dust or other particles can be released which give rise to undesirable contamination. Furthermore, this construction is bulky and complex. Consequently the space requirement for such a lock construction is appreciable. This plays a role especially when larger wafers are used. Moreover, as a consequence of the possibility of part of the wall of the lock chamber being movable, the lock chamber has a relatively large volume, which makes  
10 purging thereof more difficult. Furthermore, it is a disadvantage that movement of the cassette relative to the lock chamber takes place during the operation for loading/unloading the cassette.

The aim of the present invention is to avoid these disadvantages and to provide a simple construction with which communication with the lock chamber can be achieved  
15 without moving the cassette and with which it is possible to purge the cassette chamber separately from the surroundings in a simple manner.

Said aim is achieved with the device as described above by means of the characterising features in Claim 1.

By using two separate closures in the lock chamber it is possible to close off both the  
20 first and the second opening individually. Moreover, it is no longer necessary to fit moving wall sections in the lock chamber. The construction according to the invention can be made appreciably more compact, as a result of which the volume of the lock chamber is reduced. Consequently the space requirement for the entire treatment device can be reduced. Furthermore, it is then possible in a simple manner to pass gas through both the lock chamber  
25 and the cassette using a relatively small quantity of gas. Said gas can be a purging gas. Preferably, the supply means for such a gas are constructed such that the stream of gas initially moves into the space in the cassette.

According to the invention the cassette is preferably a cassette of the so-called FOUP type. In this case the cassette rack is mounted such that it is fixed in the housing and the  
30 wafers are removed from the cassette in the direction of the plane thereof. This is in contrast to a so-called SMIF cassette, with which the wafer rack is mounted such that it is movable in the housing and the wafers, together with the wafer rack, are removed from the cassette in the direction perpendicular to the plane of the wafers.

With the aid of the invention it is possible to carry out purging via a cover for the cassettes. By initially only partially opening the cassette cover it is possible, on the one hand, to obtain good access to all levels of the wafers and, on the other hand, the quantity of purging fluid is restricted as far as possible. If use is made of a lock construction close to the opening it is possible to subsequently remove the cover completely.

Preferably, the closures described above are constructed as flat plates, which both move away to the side.

The invention also relates to an assembly consisting of the device described above and a cassette, the cassette being constructed as a FOUP cassette.

The invention also relates to a method for transferring wafers from/to cassettes in a lock chamber, wherein the cassette is positioned with the side to be opened against the lock chamber, such that the closure of the cassette is coincident with a closure for a first opening of said lock chamber, after which the closure of the cassette, together with the closure of the lock chamber, is removed into the lock chamber, which lock chamber is provided with a further closable opening for removing/feeding said wafers from/to the lock chamber, wherein during the removal of the closure of the cassette the further opening is closed and a purging gas is fed through said lock chamber, followed by opening of said further opening.

The invention will be explained in more detail below with reference to an illustrative embodiment shown in the drawings. In the drawings:

Fig. 1 shows, diagrammatically and partially exposed, a perspective view of the device according to the invention;

Fig. 2 shows, diagrammatically, a plan view of the device according to Fig. 1 and;

Figs 3a - e show the lock according to the invention in more detail in various positions.

The device according to the invention is indicated in its entirety by 1. Said device comprises a housing 2 and will in general have been installed in a so-called "clean room". In addition to housing 2, partitions 3, 4 and 5 are also present, as can be seen in particular from Fig. 2. Housing 2 delimits, with partition 3, reactor area or chamber 21. A chamber 22 in which the intermediate store according to the invention is located is delimited between housing 2 and partitions 3, 4. A chamber 23 is delimited between partitions 4 and 5 and housing 2. 33 is the introduction chamber.

Two reactors, which in this case comprise furnaces 6, 7, are arranged in reactor chamber 21. Said furnaces are positioned vertically and wafer racks, indicated by 12, filled with wafers 13, are introduced into the furnaces 6, 7 in the vertical direction from below. To

this end each furnace has an insertion arm 14, which is movable in the vertical direction. Only one insertion arm 14 can be seen in the drawing. The wafer rack 12 is provided at the bottom with an insulating plug, which is not indicated in more detail, which provides a seal with respect to the furnace. Special measures are taken to optimise the operation in the furnace.

5 A rotary platform 11, provided with cut-outs 15, is fitted. Said cut-outs 15 are shaped such that, if the cut-outs 15 have been brought into the correct position, arm 14 is able to move up and down through the cut-outs. On the other hand, the diameter of the bottom of the wafer rack is such that said diameter is larger than the cut-out 15 in the platform 11, so that  
10 when the arm 14 moves downwards from the position shown in Fig.1 the wafer rack 12 can be placed on rotary platform 11 and can be removed therefrom again in a reverse operation.

The wafer racks can be fed to both furnace 6 and furnace 7. It is possible to perform a successive treatment therein. It is also possible to allow parallel groups of wafer racks to be treated exclusively by furnace 6 and exclusively by furnace 7. Said wafer racks must be  
15 provided with wafers. After all, wafers 13 are supplied in (transport) cassettes 10 which, from the introduction chamber 33, are placed in store 8 through a closable opening 34 with the aid of arm 31. Arm 31 is provided with a bearing surface 32 which has dimensions a little smaller than those of the series of cut-outs 26 in rotary platforms 27. A number of such rotary platforms are provided one above the other in the vertical direction in store 8. Arm 31 is  
20 movable in the vertical direction with the aid of height adjuster 35. Arm 31 is mounted such that said arm is able not only to pick up/remove cassettes from/to introduction chamber 33 to/from store 8, but that it is also possible to move cassettes from/to store 8 to/from rotary platform 30. Said rotary platform 30 is constructed such that on rotation the cassette is placed against partition 4 where an opening 37 has been made so that, after opening the cassettes,  
25 wafers can be taken one by one from the cassette concerned with the aid of arm 24 and can be placed in the wafer rack 12 located in chamber 22. Said wafer rack 12 is supported by hinged arm 16 which is provided with a bearing surface 17 at the end, the dimensions of which are once again somewhat smaller than those of cut-outs 15. Said arm 16 is able to move with the wafer rack through a closable opening in partition 3. A closure is provided in order to be able  
30 to close opening 19 between chambers 21 and 22.

The treatment of a large number of wafers can be carried out as follows:

The operator, shown diagrammatically in Fig. 1, loads a store 8 by introducing a number of cassettes and carrying out control operations on panel 36. Each of the cassettes 10

is transferred from the introduction chamber 33 with the aid of arm 31 into the storage compartments 9 made for these cassettes in store 8. This means that, starting from the lowest position for removing the relevant cassette 10 from chamber 33 through the opening 34, said cassette can then be moved upwards for moving into a higher compartment 9 of the store 8.

- 5 By rotation of the store 8 it is possible to fill various compartments with cassettes 10.

After filling store 8 no further human intervention is required with the automated embodiment of the device according to the present invention. The cassettes 10 concerned are then removed from the store by arm 31 and placed on rotary platform 30. The cassettes are rotated on the rotary platform and placed against partition 4. With the aid of arm 24, the  
10 wafers are removed wafer by wafer and placed in wafer rack 12 placed on swing arm 16. In the interim the rotary platform 11 is able to move in the reactor chamber 21 in an optimum manner with regard to the treatments to be carried out on the wafers present inside the reactor chamber 21. After wafer rack 12 has been filled in chamber 22 and has become or becomes available to one of the reactors 6, 7, opening 19, which was closed up to this time, is exposed  
15 and said filled wafer rack is placed on rotary platform 11. Said rotary platform then moves one position immediately or later and a filled wafer rack 12 is removed from platform 11. Treated wafers are located on said filled platform. Said wafers execute a movement counter to the above.

Further details on this device are to be found in Netherlands Patent 1 008 143.

- 20 As can be seen in particular from Figs 3a - e, lock 40 according to the invention is arranged close to wall 4. Said lock consists of a lock chamber 41, delimited on one side by wall 4 and delimited on the other side by auxiliary wall 39. Both wall 4 and auxiliary wall 39 are provided with an opening, 38 and 37 respectively. Opening 38 is closable with the aid of a closure plate 46. Via seal 47 a perfect seal with respect to wall 40 is ensured. Opening 37 is  
25 closed off with the aid of gripper plate 44. The latter is provided with a coupling 52, shown diagrammatically, in order to engage on cover 42. Seal 43 provides a seal between the cassette housing 10 and auxiliary wall 39.

49 indicates a gas feed, whilst 50 indicates a gas discharge.

- In the position shown in Fig 3a, the cassette 10 is just placed against auxiliary wall 39  
30 so as to produce a seal. Locking of gripper plate 45 and closure 42 then takes place (Fig. 3b), after which the assembly concerned is first moved forwards (downwards in the drawing) and then in the direction of arrow 45 (Figs 3b, c). Under these conditions closure plate 46 is still in sealing engagement with wall 4. During or after opening cassette 10, gas is blown into the

cassette space via gas feed 49 (Fig. 3d). This can take place over the entire height of the cassette, that is to say between all wafers arranged in the cassette. On partial opening of closure 42, the gas stream indicated by arrow 51 in Fig. 3d is produced. That is to say, the flow of gas through the entire cassette 10 is ensured. Closure plate 46 is then moved away in  
5 accordance with arrow 45 (Fig. 3e) and the wafers can be moved.

Those skilled in the art will understand that numerous modifications to the above are possible. For instance, it is possible for one reactor to suffice or for more than two reactors to be present. The store can be of different construction, whilst the various displacement mechanisms can likewise be adjusted depending on the requirement. Such modifications are  
10 all considered to fall within the scope of the present claims.



1. Device for transferring wafers (13) from/to cassettes (10), comprising a lock chamber (41) having a first opening (37) for receiving said cassettes and a second opening  
5 (38) for moving the wafers to a treatment device, which openings are made in line, wherein there is at least one closure (44) for closing off one of said openings, which closure is equipped to receive the closure (42) of said cassette, characterised in that there is a further closure (46), separate from said closure (44), and in that feed (49) and discharge (50) means for a gas are fitted in said lock chamber.
- 10 2. Device according to Claim 1, wherein said feed means (49) for a gas are designed so as to move a stream of gas into said cassette.
3. Device according to one of the preceding claims, wherein said closures comprise essentially flat plates, both of which are moved away, in the plane of said first opening and, respectively, second opening, in order to expose said openings.
- 15 4. Assembly comprising a device according to one of Claims 1-3, as well as a cassette interacting therewith, wherein said cassette contains a wafer rack permanently attached to the housing thereof.
5. Assembly according to Claim 4, wherein the plane of the accommodations for the wafers in the cassette is essentially perpendicular to the plane of the first opening.
- 20 6. Method for transferring wafers from/to cassettes in a lock chamber, wherein the cassette is placed with the side to be opened against the lock chamber such that the closure of the cassette is coincident with a closure for an opening of said lock chamber, after which the closure of the cassette, together with said closure of the lock chamber, is removed into the lock chamber, which lock chamber is provided with a further closable opening for  
25 removing/feeding said wafers from/to the lock chamber, characterised in that during removal of the closure of said cassette the further opening is closed and a purging gas is fed through said lock chamber, followed by opening of said further opening.
7. Method according to Claim 6, wherein the purging gas is fed via the partially open cassette closure.
- 30 8. Method according to Claim 6 or 7, wherein, after exposing said further opening, said wafers are successively removed from/inserted in the cassette.

fig - 1

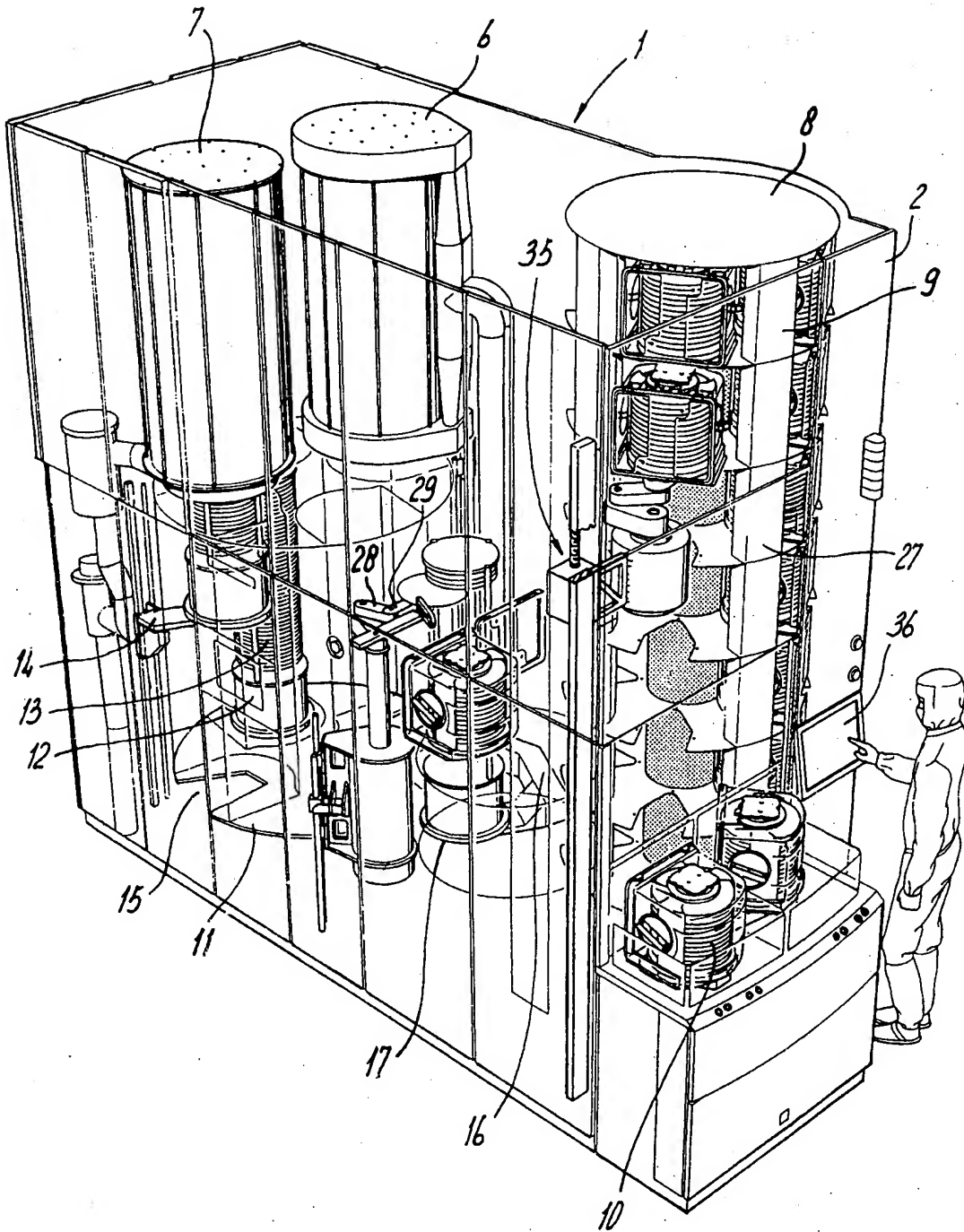
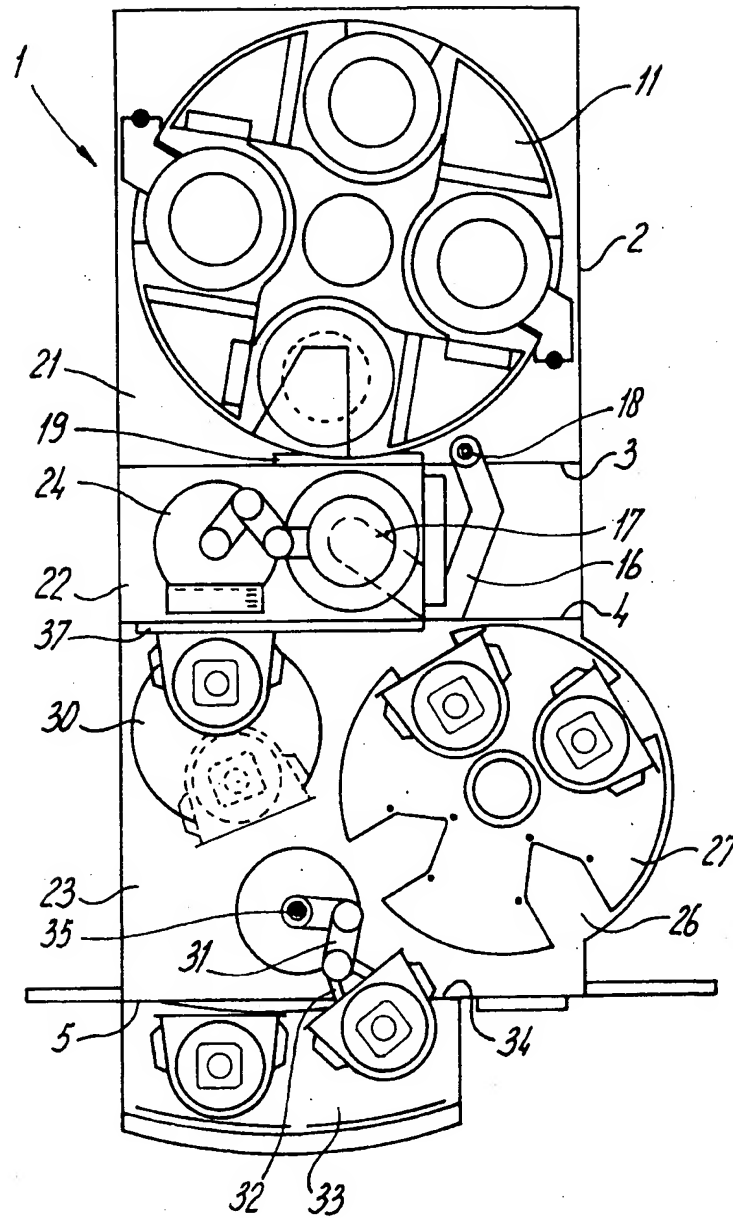
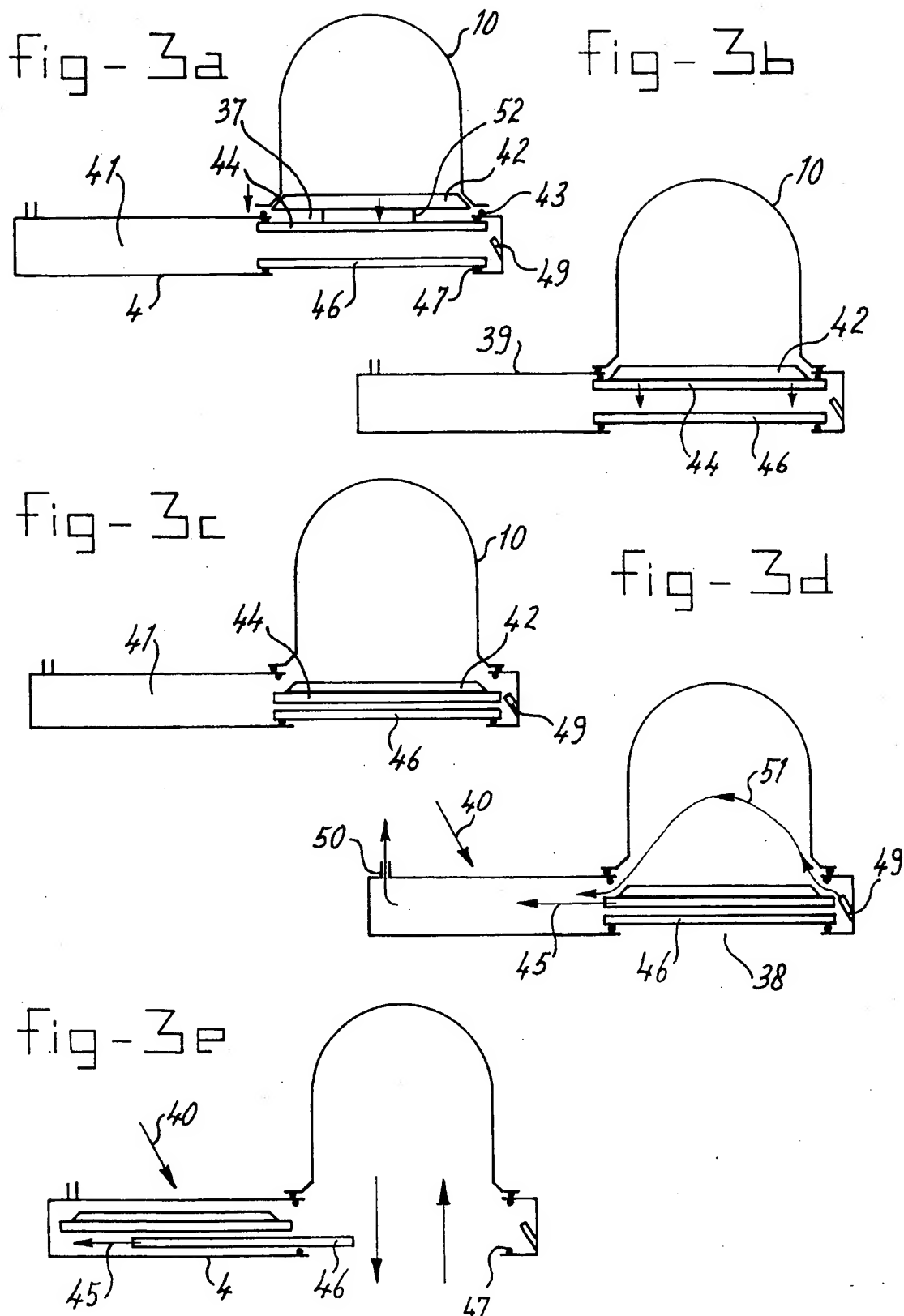


fig - 2





# INTERNATIONAL SEARCH REPORT

International Application No

PCT/NL 99/00353

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 H01L21/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 H01L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 96 26874 A (ASYST TECHNOLOGIES INC) 6 September 1996 (1996-09-06) cited in the application page 20, line 24 - page 21, line 40; figure 13 ---	1-8
A	EP 0 565 001 A (SHINKO ELECTRIC CO LTD) 13 October 1993 (1993-10-13) the whole document ---	1,2,6,8
A	DE 38 36 696 C (FRAUNHOFER-GESELLSCHAFT ZUR FÖRDERUNG DER ANGEWANDTEN FORSCHUNG EV) 7 December 1989 (1989-12-07) the whole document -----	1,2,6

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### Special categories of cited documents:

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

1 September 1999

Date of mailing of the international search report

07/09/1999

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Bolder, G

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/NL 99/00353

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 9626874 A	06-09-1996	US 5586585 A	24-12-1996
EP 0565001 A	13-10-1993	JP 5286567 A	02-11-1993
		DE 69304385 D	10-10-1996
		DE 69304385 T	16-01-1997
		US 5320218 A	14-06-1994
DE 3836696 C	07-12-1989	NONE	